- Difficult temperament
  - Insecure attachment
  - Hostile to peers, socially inhibited •
  - Irritability
  - Fearfulness
  - Difficult temperament
  - Head injury
  - Motor, language, and cognitive impairments
  - Early aggressive behavior
  - Sexual abuse
- Parental drug/alcohol use
- Cold and unresponsive mother behavior
- Marital conflict

INFANCY & EARLY CHILDHOOD

- Negative events
- Cold and unresponsive mother behavior
- Parental drug/alcohol use
- Family dysfunction
- Disturbed family environment
- Parental loss
- Poor academic performance in early **\*\*** 
  - grades
  - Specific traumatic experiences
  - Negative events
  - Lack of control or mastery experiences
  - Urban setting
  - Poverty
  - Negative self-image
    - Apathy
    - Anxiety
    - Dysthymia
    - Insecure attachment
    - · Poor social skills: impulsive, aggressive, passive, and withdrawn
    - Poor social problem-solving skills
    - Shyness
    - Poor impulse control
    - Sensation-seeking
    - Lack of behavioral self-control
    - Impulsivity
    - Early persistent behavior problems
    - Attention deficit/hyperactivity disorder
    - Anxiety

MIDDLE CHILDHOOD

- Depression
- Antisocial behavior
- Head injury
- Self-reported psychotic symptoms
- Parental depression
  - Poor parenting, rejection, lack of parental warmth
  - Child abuse/maltreatment
  - Loss
  - Marital conflict or divorce
  - Family dysfunction
  - Parents with anxiety disorder or anxious childrearing practices
  - Parental overcontrol and intrusiveness

- Self-regulation
- Secure attachment
- Mastery of communication and language skills
- · Ability to make friends and get along with others
- Reliable support and discipline from caregivers
  - Responsiveness
  - Protection from harm and fear
  - · Opportunities to resolve conflict
  - Adequate socioeconomic resources for the family
- Support for early learning
- Access to supplemental services such as feeding, and screening for vision and hearing
  - Stable, secure attachment to childcare provider
  - Low ratio of caregivers to children
  - Regulatory systems that support high quality of care

## (family risk factors continued)

- Parents model, prompt, and reinforce threat appraisals and avoidant behaviors
  - Marital conflict; poor marital adjustments
  - Negative life events
  - Permissive parenting
  - Parent-child conflict
  - Low parental warmth
  - Parental hostility
- Harsh discipline
- Child abuse/maltreatment
- Substance use among parents or siblings
- Parental favorable attitudes toward alcohol and/or drug use
- Inadequate supervision and monitoring
- · Low parental aspirations for child
- Lack of or inconsistent discipline
- Family dysfunction
- Peer rejection
- Stressful life events
- Poor grades/achievements
- Poverty
- Stressful community events such as violence
- Witnessing community violence

Source: National Research Council and Institute of Medicine. (2009). Preventing mental, emotional, and behavioral disorders among young people: Progress and possibilities. Washington, DC: The National Academies Press.

- Social trauma
- Negative events
- Lack of control or mastery experiences



## **Risk** and **Protective** Factors for Mental, Emotional, and Behavioral **Disorders Across** the Life Cycle





- individual family school/community
  - (school/community risk factors continued)
- School failure

• Peer rejection

Urban setting

reading, writing)

Poverty

• Deviant peer group

• Alienation from peers

alcohol and drug use

• Low commitment to school

• Peer attitudes toward drugs

• Law and norms favorable toward

• Availability and access to alcohol

• Mastery of academic skills (math,

school, and public places

Good peer relationships

• Extended family support

Positive teacher expectations

High academic standards

• Effective classroom management

Positive partnering between school

• School policies and practices to reduce

· Ability to make friends

Consistent discipline

based discipline

• Healthy peer groups

School engagement

and family

bullying

• Following rules for behavior at home,

• Language-based rather than physically-

## Risk and Protective Factors for Mental, Emotional, and Behavioral Disorders Across the Life Cycle (continued)

- Female gender
- Early puberty
- Difficult temperament: inflexibility, low positive mood, withdrawal, poor concentration
- Low self-esteem, perceived incompetence, negative explanatory and inferential style
- Anxiety
- Low-level depressive symptoms and dysthymia
- Insecure attachment
- Poor social skills: communication and problem-solving skills
- Extreme need for approval and social support
- Low self-esteem
- Shyness

**ADOLESCENCE** 

- Emotional problems in childhood
- Conduct disorder
- Favorable attitudes toward drugs
- Rebelliousness
- Early substance use
- Antisocial behavior
- Head injury
- Marijuana use
- Childhood exposure to lead or mercury (neurotoxins)
- Parental depression
  - Parent-child conflict
  - Poor parenting
  - Negative family environment (may include substance abuse in parents)
  - Child abuse/maltreatment
  - Single-parent family (for girls only)
  - Divorce
  - Early-onset depression and anxiety
  - Need for extensive social support
  - Childhood history of untreated anxiety disorders
  - Childhood history of poor physical health
  - Childhood history of sleep and eating problems
  - Poor physical health
  - Lack of commitment to conventional adult roles
  - Antisocial behavior
  - Head Injury

EARLY ADULTHOOD

- Parental depression
- Spousal conflict
  - Single parenthood
  - Leaving home
  - Family dysfunction
  - Decrease in social support accompanying entry into a new social context
  - Negative life events
  - Attending college
  - Substance-using peers
  - Social adversity

- (family risk factors continued)
- Marital conflictFamily conflict
  - Parent with anxiety
  - Parental/marital conflict
  - Family conflict (interactions between parents and children and among children)
  - Parental drug/alcohol use
  - Parental unemployment
  - Substance use among parents
  - Lack of adult supervision
  - Poor attachment with parents
  - Family dysfunction
  - Family member with schizophrenia
  - Poor parental supervision
  - Parental depression
  - Sexual abuse
- Peer rejection
- Stressful events
- Poor academic achievement
- Poverty
- Community-level stressful or traumatic events
- School-level stressful or traumatic events
- Community violence
- School violence
- Poverty
- Traumatic event
- School failure
- Low commitment to school
- Not college bound
- Aggression toward peers
- Associating with drug-using peers
- Societal/community norms about alcohol and drug use
- Identity exploration in love, work, and world view
- Subjective sense of adult status
- Subjective sense of self-sufficiency, making independent decisions, becoming financially independent
- Future orientation
- Achievement motivation
- Balance of autonomy and relatedness to family
  - Behavioral and emotional autonomy
- Opportunities for exploration in work and school
- Connectedness to adults outside of family

(school/community risk factors continued)

- Urban settingPoverty
- Poverty
- Associating with deviant peers
- Loss of close relationship or friends
- Positive physical development
  - Academic achievement/intellectual development
  - High self-esteem

values

- Emotional self-regulation
- Good coping skills and problem-solving skills
- Engagement and connections in two or more of the following contexts: school, with peers, in athletics, employment, religion, culture
- Family provides structure, limits, rules, monitoring, and predictability
  - Supportive relationships with family members
    Clear expectations for behavior and

• Presence of mentors and support for

development of skills and interests

• Opportunities for engagement within

schizophrenia

protective factor

school/community

Sources of Risk/Protective Factors

family

conduct disorders

school and community

• Clear expectations for behavior

• Physical and psychological safety

Positive norms

Disorders

depression

substance abuse

Type of Factor

**v**risk factor

individual

anxiety